

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: REDMOND

SERIAL NO.: 09/295,000

FILED: APRIL 16, 1999

FOR: MEDIA FILE DISTRIBUTION WITH ADAPTIVE TRANSMISSION PROTOCOLS

DOCKET: UNIFREE 97.01 CIP



Application Processing Division,
Special Processing and Correspondence Branch
The Commissioner of Patents & Trademarks
Washington, D.C. 20231

SUBMISSION OF RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION; ASSIGNMENT; VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS; AND REQUEST FOR REFUND

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed May 12, 1999 (copy attached), submitted herewith is a two page Supplemental Declaration and Power of Attorney form executed by the inventor with respect to the above-identified patent application. Also enclosed is a two page Assignment affixed to a completed form PTO-1595.

In addition, also submitted herewith a two (2) page Verified Statement Claiming Small Entity Status executed by the Assignee in this application.

Enclosed is a check in the amount of \$105.00 to cover the \$65.00 surcharge based on small entity status and the \$40.00 assignment recordal fee.

In the event there are any fee deficiencies or additional fees are payable, please charge them) or credit any overpayment to our Deposit Account No. 08-1391.

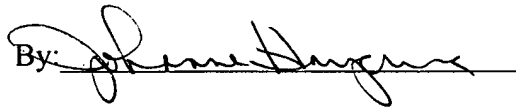
Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 22, 1999 at Manchester, New Hampshire.

By: 



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/295,000 04/16/99 REDMOND

0232/0512

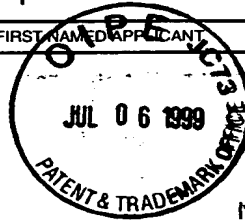
NORMAN P. SOLOWAY
HAYES SOLOWAY HENNESSEY GROSSMAN & HAGE
175 CANAL STREET
MANCHESTER NH 03101

NOT ASSIGNED

2731

DATE MAILED:

05/12/99



6/12/99 (K2)
7/8/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). **If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.**

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ **small entity (statement filed)** ☐ **non-small entity is \$** 65

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

[Signature]

Customer Service Center
Initial Patent Examination Division (703) 308-1202

07/08/1999 SDJWMB 00000042 09295000 65.00 DP
01 FC:205